Form

AB-353

No-Sale Event Venue Permit Application

rees		
BTR Fee	\$	
Permit Fee	\$	300
Background Check Fees	\$	
Total Fees	\$	

	For DAB Use	3 Use Only	
Permit #		Date Received	

Part A: Property Information					
1. Property Owner Name(s)					
2. Property Address					
3. City			4. State	5. Zip Code	
6. County	County 7. Governing Municipality: City Town Village of:				
9. Property Owner Email					
10. Property Description - Describe the building or buildings and any outdoor areas where renters, lessee, and guests may carry in and consume alcohol beverages and where any related records are kept. Describe all buildings and outdoor areas on the property where these activities will take place. Authorized alcohol beverage activities and storage of records may occur only on the property described in this application. Attach a map or diagram and additional sheets if necessary to expand on the description.					
Part B: Business Information of Pr					
Legal Business Name of Entity Operating P	roperty (individual name if sole proprieto	r)			
2. Business Trade Name or DBA (if applicable)				
3. FEIN		4. Wisc	onsin Seller's	s Permit Number (if applicable)	
5. State of Organization 6. Date of Organization	ation 7. Wisconsin DFI Entity ID		1	8. Business Activity (NAICS) Code	
9. Entity Type (check one): Sole Propriet	tor Partnership	Limited Liab	oility Compan	y Corporation	
10. If Limited Liability Company, federal income taxes will be filed as a: Partnership Corporation Single member/disregarded entity					
11. Website					
12. Mailing Address (if different from property a	ddress)				
13. City			14. State	15. Zip Code	
Part C: Criminal History					
 Has the property operator (sole propried convicted of violating federal or state la alcohol beverages. If yes, describe the 	ws or local ordinances? Exclude traff	ic offenses	unless rela	ted to	
Law/Ordinance Violated	Location		Tr	rial Date	
Penalty Imposed		Was the s	sentence com	ppleted? Yes No	

Law/Ordinance Violated	Location			Trial Date		
Penalty Imposed			Was the sentence of	ompleted? Yes No		
Are charges for any offense pend alcohol beverages				Yes No		
Part D: Persons Affiliated Witl	h Rusiness					
Is the applicant business owned						
If yes, provide the name(s) and F business ownership illustration if	EIN(s) of the business entity					
Legal Name of Bus	siness Entity		Busines	s Entity FEIN		
2. Does the applicant business or do any of its officers, directors, members, agent, employees, owners, or other related individuals or entities have any interest in a Wisconsin-permitted alcohol beverage wholesaler or producer?						
 3. List the name, title and ownership percentage below for each individual or entity holding the following positions in the applicant business listed in Part B, Question 1. Attach additional sheets if necessary. Sole proprietor: individual's name Partnership: all partners Corporation and nonprofit organization: all officers, directors, and agent* Limited liability company: all members, managers, and agent* 						
Form AB-300, Personal Questionnaire, must be submitted with this application for each individual listed with any of the above roles, including individuals holding these roles for entities listed in Part D, Question 1. Any restricted investor listed in question 2 must also complete AB-300.						
- Also list any private stockholders, shareholders, or other owners not otherwise listed *Form <u>AB-101</u> , <i>Appointment of Agent</i> , must be submitted with this application if the applicant business is a corporation or limited liability company.						
Last Name	First Name		Title	Ownership Percentage (must total 100%)		
1	ĺ	1				

Part E: Interest Restrictions						
1.	1. Does the applicant hold an alcohol beverage producer or distributor permit?					
2.		cer, manager, member, director, or age	ent for an alcohol beverage production Yes No			
3.	Does the applicant hold an owners permittee?	nip interest of more than 10% in an ald	cohol beverage producer or distributor Yes No			
4.	permittee? If yes, complete Form A	hip interest of 10% or less in an alcoh <u>B-104a</u> or <u>AB-104b</u> , <i>Restricted Inves</i>	tor Affidavit, and attach to this			
5.		nterest in an alcohol beverage produc below. Attach additional sheets if nec				
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Р	art F: Other Questions					
1.	1. How many events were held at the property within the 12 months immediately preceding this application?					
2.	2. Are there any alcohol beverage licenses or permits wholly or in part for the property identified in Part A? Yes No					
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	art G: Contact Person					
Er	nter the person's information below	for whom the division should contact				
1.	Last Name	2. First Name	3. Relation to Applicant (owner, employee, legal counsel, etc.)			
4.	Email		5. Phone			
Enter the information for the general contact who will be knowledgeable about the day-to-day activities of the property (if different than above).						
6.	Last Name	7. First Name	8. Relation to Applicant (owner, employee, legal counsel, etc.)			
9.	Email		10. Phone			

Part H: Attestation

The property owner must sign and attest to this application:

READ CAREFULLY BEFORE SIGNING: Under penalty of law, I have answered each of the above questions completely and truthfully. I agree that I am acting solely on behalf of the applicant business and not on behalf of any other individual or entity seeking the permit. Further, I agree that the rights and responsibilities conferred by the permit(s), if granted, will not be assigned to another individual or entity.

I agree to operate this business according to the law, including but not limited to understanding the following:

- 1. Possession and consumption of distilled spirits on property covered by a No-Sale Event Venue is prohibited when in use by the renter or lessee.
- 2. Sales of alcohol beverages are prohibited on property covered by a No-Sale Event Venue Permit, unless the renter or lessee of the property covered by the permit holds a temporary Class B license issued by the governing municipality for such sales.
- 3. I am prohibited from providing alcohol beverages to any renter or lessee of the property named on this application.
- 4. Events with 20 or more people are required to have alcohol beverage service performed by a person holding an operator's license.
- 5. If a permitted No-Sale Event Venue permit holder later elects to apply for a Class "B" beer license, they must provide written notice to the Division of Alcohol Beverages.

I understand that lack of access to any portion of the permitted property during inspection will be deemed a refusal to allow inspection. Such refusal is a misdemeanor and grounds for revocation of this permit. I understand that any permit issued contrary to Wis. Stats. Chapter 125 shall be void under penalty of state law. I further understand that I may be prosecuted for submitting false statements and affidavits in connection with this application, and that any person who knowingly provides materially false information on this application may be required to forfeit not more than \$1,000 if convicted.							
Last Name		First Name		M.I.			
Title	Email		Phone				
Signature			Date				

Part H: Attestation (con't)

Form AB-353 Instructions

No-Sale Event Venue Permit Application

Who needs this permit?

A Wisconsin event venue intending to rent or lease real property for use as an event venue at which fermented malt beverages and wine are consumed on no more than 6 days per calendar year and no more than one day per month, and not obtain any alcohol beverage retail license should apply for a no-sale event venue permit.

Fee calculation

- The Business Tax Registration fee of \$20 is owed if the applicant has never registered with the Department of Revenue (DOR). No fee is due if the applicant is currently registered.
- The permit fee for this alcohol beverage permit is \$300 for a 2-year period.
 - If this 2-year cycle has already started due to an existing permit, a portion of the permit fee may be prorated and refunded.
- The background check fee is \$7 for each sole proprietor, partner, officer, director, member, manager, and agent.
 - This fee is non-refundable.
 - If submitting multiple alcohol beverage permit applications, pay this fee only once per person.
- Submit all applicable fees above with the permit application documents.

SPECIFIC INSTRUCTIONS

Part A: Property Information

- Question 1: List name(s) of the owner(s) of the property seeking the permit, including all people and entities listed on the deed.
- Question 2 -7: List the address of the property seeking a permit.
- Question 8 9: List contact information for the property owner listed in Part A, Question 1.
- Question 10: Describe the real property where renters, leases, and guests may carry in and consume alcohol beverages
 and any related records are kept. P.O. boxes are not acceptable. Attach a map or diagram and additional sheets if
 necessary, but a text description is required.

Example: The property is located at 1234 Main St., Realtown, WI, 12345, and includes the entire 5,000 square ft pole barn and entire 2 acre square ft fenced grass field directly to the northwest of the barn.

Part B: Business Information

- Question 1: List the legal name of the entity seeking the permit, which could be a real person, partnership, LLC, or corporation. This should be the entity that will take the actions allowed by the permit.
- Question 2: (Optional)
- Question 3: List the Federal Employer Identification Number issued by the IRS, or the social security number if applicant is an individual person.
- Question 4: List the 15-digit Wisconsin sales tax account number held by the applicant, if applicable. Sales tax accounts begin with digits "456."
- Question 5 6: List the state and date of legal organization.
- Question 7: List the Wisconsin Department of Financial Institutions Entity ID if the applicant is registered with DFI.
- Question 8: See this link for a Business Activity Code lookup.
- Question 9: Check one box to indicate the type of legal entity applying for this permit.
- Question 10: If LLC is checked in Question 9, choose the option matching how federal income taxes are filed. Leave blank if applicant is not an LLC.
- Question 11: (optional) provide the URL of the business operator.
- Questions 12 15: (Optional) If mail should be delivered to an address other than the property address, list that address here. P.O. boxes are acceptable.

Part C: Criminal History Questions

• Charges and convictions, including felony convictions, are not automatic disqualifiers to alcohol beverage permitting. Provide as much information as possible about any conviction or charge listed.

Note: Subject to the Wisconsin Fair Employment Law (Ch. 111, Wis. Stats.), persons with convictions or pending charges may, if those offenses are sufficiently relevant, be prohibited from holding alcohol beverage license and permits under sec. 125.04(5)(a)(1) Wis. Stats. See the Department of Revenue's Permit Predetermination Common Questions for offenses that may prevent someone from holding permits.

Part D: Persons Affiliated With Business

- Question 1: Describe if the applicant entity is owned, in whole or in part, by another entity that is not an individual person, such as an LLC or corporation. Attach an ownership tree if there are multiple ownership layers to describe.
- Question 2: Other related individuals or entities include managers and supervisors who are involved in day-to-day operations of the business.
- Question 3: Examples for title field include sole proprietor, partner, president, CEO, LLC member, etc.

Part E: Interest Restriction Questions

- Question 1: Indicate if the applicant has any producer permit (brewery, winery, manufacturer, etc.) or wholesaler permit (fermented malt beverage or liquor wholesalers).
- Question 4: See DAB's website for additional forms, including <u>AB-104a</u> or <u>AB-104b</u>.

Part F: Other Questions

- Question 1: The applicant must certify in the permit application how many events were held at the venue in the 12-month period immediately preceding the application.
- Question 2: The property described in Part A may not also be covered by any other alcohol beverage retail license, other than a properly issued temporary (picnic) Class "B" beer or "Class B" wine license.

Part G: Contact Person

Provide contact information for the person the division should contact with questions on this permit application and ongoing operations. This could be an owner, employee, attorney, or other representative.

Part H: Attestation

· Read the attestation carefully, then sign and date.

Additional Forms and Documents

Submit the following forms or documents with this permit application.

- Form AB-300, Personal Questionnaire
- Form <u>AB-101</u>, Appointment of Agent
- Form A-222, Power of Attorney if the applicant is appointing a power of attorney

Submission

Mail this form, along with all required forms, documents, and payments to the address below:

Wisconsin Department of Revenue Division of Alcohol Beverages PO Box 8934 Madison WI 53708-8934

Applications may be shipped expedited/overnighted to the following physical address:

Wisconsin Department of Revenue Division of Alcohol Beverages 2135 Rimrock Rd Madison WI 53713 MS 5-DAB

Assistance

This form is designed by the Division of Alcohol Beverages. If you require assistance with this form, or if you have questions about alcohol beverage laws and regulations, you may contact the Division of Alcohol Beverages using the contact information below:

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Website: DOR Alcohol Beverage (wi.gov)
Write: DORAlcoholPermits@wisconsin.gov

Call: (608) 266-2526