Form AB-307

# Non-Beverage Alcohol Use Permit Application

Fees	
BTR Fee	\$
Permit Fee	\$
Background Check Fees	\$
Total Fees	\$

		Permit Fee	\$
	AB Use Only	Background Check Fees	\$
Permit #	Date Received	Total Fees	\$

	Perm	it Application Ty	pe				
Industrial: Beer Wine	Alcohol Sacrai	mental Wine	☐ Medic	inal Alcohol	☐ Wholesale B	Ethyl Alcohol	
Part A: Business Information							
Legal Business Name (individual name i	if sole proprietorship)						
2. Business Trade Name or DBA					3. FEIN		
4. State of Organization 5. Date of Organization	anization 6. Wisconsi	in DFI Registratior	n Number (if	applicable)	7. Business Activity	7. Business Activity (NAICS) Code	
8. Business Type (check one)							
Sole Proprietor Partner	ship Limited L	iability Company		Corporation	☐ Nonprofit Or	rganization	
9. If Limited Liability Company, federal inco	ome taxes will be filed as	a: Partnersh	nip 🗌 Co	orporation [	Single member/disr	regarded entity	
10. Premises Address							
11. City				12. State	13. Zip Code		
14. County	15. Governing of:	Municipality:	City 🗌 To	wn	16. Premises Phor	ne	
17. Premises Email	,	18. Webs	site				
Premises Description – Describe the base records are kept. Describe all rooms storage of records may occur only on the base of records may occur only on the base of the	within the building that w	vill be part of the p	permitted pr	emises. Autho	rized alcohol beverag	e activities and	
20. Mailing Address (if different from premi	ses address)						
21. City				22. State	23. Zip Code		
Part B: Criminal History				·			
1. Has the business (sole proprietorship, partnership, limited liability company, or corporation) been convicted of violating federal or state laws or local ordinances? Exclude traffic offenses unless related to alcohol beverages.   Yes No If yes, describe the nature of violation. Attach additional sheets if necessary.							
Law/Ordinance Violated	Location				Trial Date		
Penalty Imposed			Was se	entence compl	eted?	Yes No	
Law/Ordinance Violated	Location		1		Trial Date		
Penalty Imposed  Was sentence completed? Yes N				Yes No			

2. Are charges for any offense pend alcohol beverages	ding against the business? Exc	cluding traffic offenses unless relat	ted to	
If yes, describe the nature and status of pending charges in the space below. Attach additional sheets if necessary.				
D ( 0 D A 6711 ( 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1				
Part C: Persons Affiliated With  1. Is the applicant business owned			Yes No	
• •		wners below. Attach additional or o		
Legal Name of Bus		Business E		
<u> </u>				
List the name, title and ownershi business listed in Part A, Questio			owing positions in the applicant	
<ul> <li>Sole proprietor: individual's nam</li> <li>Partnership: all partners</li> <li>Corporation and nonprofit organ</li> <li>Limited liability company: all me</li> </ul>	nization: all officers, directors, a			
**Form AB-300, <i>Personal Questionr</i> listed with any of the above roles,	naire, and a \$7 background che	eck fee, must be submitted with thi		
<ul> <li>Also list any stockholders, shareho</li> <li>*Form AB-101, Appointment of Age liability company.</li> </ul>	olders, or other owners not other	erwise listed		
Last Name or Entity Name	First Name	Title	Ownership Percentage (must total 100%)	
Part D: Other Questions				
1. Describe the applicant's business	or profession			
2. Describe how the applicant will us	e alcohol			

Enter the person's information below for whom the division should contact with questions about this application.  1. Last Name 2. First Name 3. Relation to Applicant (owner, employee, legal counsel, etc.)  4. Email 5. Phone  Enter the information for the general contact who will be knowledgeable about the day-to-day activities of the premises (if different than above) 6. Last Name 7. First Name 8. Relation to Applicant (owner, employee, legal counsel, etc.)  9. Email 10. Phone  Part F: Attestation  One of the following must sign and attest to this application: • sole proprietor • one general partner of a partnership • one corporate officer • one member of an LLC  READ CAREFULLY BEFORE SIGNING: Under penalty of law, I have answered each of the above questions completely and truthfully. I agree that I am acting solely on behalf of the applicant business and not on behalf of any other individual or entity. I agree to operate this business according to the law, including but not limited to, purchasing alcohol beverages from Wisconsin-permited wholesalers. I understand that lack of access to any portion of a primise during inspection whole solement any permit issued contrary to Wis. Stats. Chapter 125 shall be void under penalty of state law. I further understand that I may be prosecuted for submitting false statements and affidavits in connection with this application, and that any person who knowingly provides materially false information on this application may be required to forfeit not more than \$1,000 if convicted.  Email Phone    Phone							
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	Last Name		First Name				M.I.
Signature	Title	Email			Phon	e	
	Signature	,				Date	

# Form AB-307 Instructions

Non-Beverage Alcohol Use Permit Application

# Who needs this permit?

**Industrial**: A person purchasing and using alcohol for industrial purposes must hold the industrial permit corresponding to the type of alcohol used.

**Example**: A food processor using wine in a prepackaged pasta sauce should hold an industrial wine permit.

**Sacramental Wine:** Organized religious bodies purchasing wine for their own sacramental use from permitted wineries, manufacturers, rectifiers, or wholesalers must hold a sacramental wine permit.

Medicinal Alcohol: A person purchasing and using alcohol for medicinal purposes must hold the medicinal alcohol permit.

**Wholesale Alcohol Permit:** A person selling ethyl alcohol of 190 proof or more to medicinal alcohol permitees or industrial alcohol permitees must hold the wholesale alcohol permit. This is a different authorization than the wholesalers permit applied for on forms AB-308 and AB-311

#### Fee calculation

- The Business Tax Registration fee of \$20 is owed if the applicant has never registered with the Department of Revenue (DOR). No fee is due if the applicant is currently registered.
- The fee for the permits available in this application are due every 2 years as described in the table below.

Permit Type	Biennial Fee
Industrial Fermented Malt Beverages (s. <u>125.275</u> , Stats.)	\$200
Sacramental Wine (s. <u>125.56</u> , Stats.)	\$0
Wholesale (Ethyl) Alcohol (s. <u>125.60</u> , Stats.)	\$200
Medicinal Alcohol (s. <u>125.61</u> , Stats.)	\$0
Industrial Alcohol (s. <u>125.62</u> , Stats.)	\$200
Industrial Wine (s. <u>125.63</u> , Stats.)	\$200

- If this 2-year cycle has already started due to an existing permit, a portion of the fee may be prorated and refunded.
- The background check fee is \$7 for each sole proprietor, partner, officer, director, member, manager, and agent.
  - This fee is non-refundable.
  - If submitting multiple alcohol beverage permit applications, pay this fee only once per person.
  - No background check fee is due for sacramental wine permit applicants.
- Submit all applicable fees above with the permit application documents.

## SPECIFIC INSTRUCTIONS

#### Part A: Business Information

- Question 1: List the legal name of the entity seeking the permit. This should be the entity that will take the actions allowed by the permit.
- Question 2: (Optional)
- Question 3: List the social security number if applicant is an individual person.
- Question 4: List the state where the applicant is legally organized.
- Question 6: List the Wisconsin Department of Financial Institutions ID Number if the applicant is registered with DFI.
- Question 7: See this link for a Business Activity Code lookup.
- Question 10-15: List the street address for the location where the applicant wants the permit to be issued. P.O. boxes are not acceptable.
- Question 16-18: (Optional) List the contact information DOR should use to contact this specific location, rather than a corporate contact, if applicable.

Question 19: Describe the building or buildings and any outside areas where alcohol beverages are produced, sold, stored,
or consumed and related records are kept. Describe all rooms within the building, including living quarters. Authorized
alcohol beverage activities and storage of records may occur only on the premises described in this application. Attach a
map or diagram and additional sheets if necessary.

**Example:** The premises is located at 1234 Main St., Realtown, WI, 12345, and includes only the production facility, canning line, first-floor tasting room, dining room, kitchen, north storage room, and south office of the 10,000 square foot building.

• Questions 20-23: (Optional) If mail should be delivered to an address other than the premises address, list that address here. P.O. boxes are acceptable.

# **Part B: Criminal History Questions**

• Charges and convictions, including felony convictions, are not automatic disqualifiers to alcohol beverage permitting. Provide as much information as possible about any conviction or charge listed.

**Note**: Subject to the Wisconsin Fair Employment Law (Ch. 111, Wis. Stats.), persons with convictions or pending charges may, if those offenses are sufficiently relevant, be prohibited from holding alcohol beverage license and permits under sec. 125.04(5)(a)(1), Wis. Stats. See the Department of Revenue's <u>Permit Predetermination Common Questions</u> for offenses that may prevent someone from holding a permit.

### Part C: Persons Affiliated With Business

- Question 1: Describe if the applicant entity is owned, in whole or in part, by another entity that is not an individual person, such as an LLC or corporation. Attach an ownership tree if there are multiple ownership layers to describe.
- · Question 2: Examples for title field include sole proprietor, partner, president, CEO, LLC member, etc.

## Part D: Other Questions

- Question 1: Generally describe the type of business the applicant conducts.
- Question 2: Specifically describe how the applicant intends to use alcohol during its course of business.

## Part G: Contact Person

 Provide contact information for the person the division should contact with questions on this permit application. This could be an owner, employee, attorney, or other representative.

#### Part H: Attestation

• Read the attestation carefully, then sign and date.

# **Additional Forms and Documents**

Submit the following forms or documents with this permit application.

- Form AB-300, Personal Questionnaire
- Form AB-101, Appointment of Agent, if the applicant is an LLC or corporation
- Form A-222, Power of Attorney, if the applicant is appointing a power of attorney
- The applicant's federal permit from the U.S. Department of Treasury TTB, if one is held

### **Submission**

Mail this form, along with all required forms, documents, and payments to the address below:

Wisconsin Department of Revenue Division of Alcohol Beverages PO Box 8934 Madison WI 53708-8934 Applications may be shipped expedited/overnighted to the following physical address:

Wisconsin Department of Revenue Division of Alcohol Beverages 2135 Rimrock Rd Madison WI 53713 MS 5-DAB

## **Assistance**

This form is designed by the Division of Alcohol Beverages. If you require assistance with this form, or if you have questions about alcohol beverage laws and regulations, you may contact the Division of Alcohol Beverages using the contact information below:

Website: DOR Alcohol Beverage (wi.gov)
Write: DORAlcoholPermits@wisconsin.gov

Call: (608) 266-2526